# Code-based Cryptography 

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What is code-based cryptography?

Isogeny based cryptosystems -
KEMs/NIKEs/signatures
(Finding isogenies on supersingular elliptic curves)

Hard Mathematical Problems

Hash-based signatures (only)
(only secure hash function needed)

Multivariate Quadratic cryptosystems - mainly signatures
(Polynomial System Solving -PoSSo,
for quadratic polynomials - MQ problem)
$\longrightarrow$ Code-based cryptosystems - mainly encryption/KEMs (decoding random linear codes, equivalence)

Lattice-based cryptosystems - signatures/encryption/KEMs (many different hard problems - SIS, SVP, LWE)

## Yet-not new at all!




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- Classic McEliece $\approx 260$ KB for NIST level 1 security


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## Linear codes basics

Binary $[n, k]$ linear code $\mathcal{C}$ of length $n$ and dimension $k$ is a subspace of $\mathbb{F}_{2}^{n}$ of dimension $k$

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- Defined by basis matrix $-k \times n$ generator matrix $G$

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- $\mathbf{G H}^{\top}=\mathbf{0}$
- Systematic form of $\mathbf{G}=\left[\mathbf{I}_{k \times k} \mid \mathbf{T}\right] \Rightarrow \mathbf{H}=\left[\mathbf{T}^{\top} \mid \mathbf{I}_{(n-k) \times(n-k)}\right]$ (store only the redundant part $\mathbf{T}$ )

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- Elements of $\mathcal{C}$ are called codewords - notation $\mathbf{c}_{1}, \mathbf{c}_{2}, \ldots$
- Hamming weight of $\mathbf{c}$ - number of non-zero coordinates of $\mathbf{c}$ - notation hw(c)
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## Linear codes + Hamming distance basics

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- Hamming weight of $\mathbf{c}$ - number of non-zero coordinates of $\mathbf{c}$ - notation hw(c)
- Minimum weight $d(\mathcal{C})=\min _{\mathbf{c} \neq 0}\{h w(\mathbf{c})\}$
- If $d(\mathcal{C})>2 t$ - the code can correct $t$ errors ( $t$ bit-flips during transmission)



## Linear codes basics

Encoding of messages:

$$
\mathbf{c}=\mathbf{m} \mathbf{G}
$$

Transmission errors introduced:

$$
\mathbf{y}=\mathbf{c}+\mathbf{e}
$$

Decoding: A procedure Decode():
Find $\mathbf{c}^{\prime}$ s.t. $h w\left(\mathbf{c}^{\prime}-\mathbf{y}\right) \leq t$

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Another (equivalent) way of looking at it:
Syndrome Decoding:
Given syndrome $\mathbf{s}=\mathbf{y H} \mathbf{H}^{\top}$, find $\mathbf{e}$ of weight at most $t$ such that $\mathbf{s}=\mathbf{e H} \mathbf{H}^{\top}$

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- Syndrome decoding, equivalently, decoding of random codes is NP-hard


# McEliece and Niederreiter 

## cryptosystems

## Linear codes for cryptography

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- Instantiations:
- McEliece 1978
- irreducible binary Goppa codes - still used today!
- everything else - broken!
- $n=1024, k=524, t=50$
- public key size: 536576 bits, ciphertext size: 1024 bits
- today, security of 60 bits
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- Reed-Solomon codes - broken 1992 by Sidelnikov \& Chestakov
- McEliece and Niederreiter constructions are equivalent!


## Key generation

Private key: generator matrix $\mathbf{G}^{\prime}$ invertible matrix $\mathbf{S}$ permutation matrix $\mathbf{P}$

Public key: $\mathbf{G}=\mathbf{S G}{ }^{\prime} \mathbf{P}$

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## Encryption of message m

Generate random error e of weight-t. Compute ciphertext:

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\mathbf{y}=\mathbf{m G}+\mathbf{e}
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## Encryption of message $m$

Generate random error $\mathbf{e}$ of weight- $t$. Compute ciphertext:

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## Decryption of ciphertext $y$

Compute $\mathbf{y}^{\prime}=\mathbf{y} \mathbf{P}^{-1}$ and

$$
\mathbf{x}=\operatorname{Decode} G\left(\mathbf{y}^{\prime}\right)
$$

Compute $\mathbf{m}=\mathbf{x} \mathbf{S}^{-1}$.

## Key generation

Private key: parity-check matrix $\mathbf{H}^{\prime}$ invertible matrix $\mathbf{S}$ permutation matrix $\mathbf{P}$

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## Encryption of message $\mathbf{m}$

Transform m into weight- $t$ error e. Compute ciphertext:

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\mathbf{y}=\mathbf{e H}^{\top}
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## Decryption of ciphertext $y$

Compute $\mathbf{y}^{\prime}=\mathbf{y}\left(\mathbf{S}^{\top}\right)^{-1}$, (syndrome) decode $\mathbf{y}^{\prime}$

$$
\mathbf{y}^{\prime}=\mathbf{e}^{\prime} \mathbf{H}^{\prime \top}
$$

Compute $\mathbf{e}=\mathbf{e}^{\prime}\left(\mathbf{P}^{\top}\right)^{-1}$.

- Variety of constructions
- McEliece and Niederreiter encryption schemes (NIST finalist: Classic McEliece '17)
- Alekhnovich '03 encryption [Alekhnovich '03]
- CFS signature[Courtois, Finiasz \& Sendrier '01]
- Fiat-Shamir signatures [Stern '93; Veron '95; Cayrel, Gaborit \& Girault '07]
- Quasi - cyclic schemes (HQC '17)


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- Variety of metrics
- Hamming metric
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- Lee metric
- Variety of codes
- Goppa codes
- LDPC, MDPC (NIST finalist: BIKE '17) and LRPC
- Reed-Solomon codes and Gabidulin codes


## NIST code-based KEMs

- July 22nd, 2020 - 3rd round NIST Finalists and Alternates announced
- 4 KEM finalists (5 alternates) - 3 code-based in Hamming metric
- 3 signature finalists (3 alternates) - No code-based
- Decision based mostly on security considerations!
- NIST: Performance wasn't the primary factor in our decisions, but we stayed aware of it


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- Classic McEliece
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- Bit-flipping decoding (now constant time)
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- HQC
- Random Quasi Cyclic codes ( $\mathrm{BCH} \otimes$ repetition codes, now Read-Muller $\otimes$ Reed-Solomon)
- BCH decoding, now RMRS
- Negligible decoding failure rate

| Algorithm | Security | pub.key(B) | priv.key(B) | ciphertxt | keygen/s | encaps/s | decaps/s |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Classic McEliece348864 | Level 1 | 261120 | 6492 | 128 | 7.99 | 69325.00 | 19486.00 |
| Classic McEliece460896 | Level 3 | 524160 | 13932 | 240 | 2.53 | 38832.67 | 7627.00 |
| Classic McEliece6688128 | Level 5 | 104992 | 14120 | 240 | 1.87 | 20083.00 | 6355.67 |
| Classic McEliece6960119 | Level 5 | 1047319 | 13948 | 226 | 1.95 | 19673.67 | 6911.33 |
| Classic McEliece8192128 | Level 5 | 1357824 | 14120 | 240 | 1.84 | 15075.33 | 6317.00 |
| BIKE | Level 1 | 1540 | 280 | 1572 | 3944.00 | 22975.00 | 1154.33 |
| BIKE | Level 3 | 3082 | 418 | 3114 | 1315.89 | 10289.33 | 509.83 |
| BIKE | Level 5 | 5122 | 580 | 5154 | 586.33 | 5140.67 | 185.60 |
| HQC-128 | Level 1 | 2249 | 40 | 4481 | 24009.67 | 12494.67 | 6728.33 |
| HQC-192 | Level 3 | 4522 | 40 | 9026 | 10973.67 | 5644.67 | 3294.00 |
| HQC-256 | Level 5 | 7245 | 40 | 14469 | 5945.33 | 3055.33 | 1740.67 |
| KYBER512 | Level 1 | 800 | 1632 | 768 | 93635.67 | 74457.67 | 107878.00 |
| KYBER768 | Level 3 | 1184 | 2400 | 1088 | 60386.00 | 50918.67 | 68550.33 |
| KYBER1024 | Level 5 | 1568 | 3168 | 1568 | 46629.33 | 38147.67 | 49443.33 |

# Security of Code-based crypto Information Set Decoding 

## Syndrome Decoding:

Given syndrome $\mathbf{s}$, find $\mathbf{e}$ of weight at most $t$ such that $\mathbf{s}=\mathbf{e H}^{\top}$


- e determines a linear combination of columns of $\mathbf{H}$ equal to $\mathbf{s}$ !


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- We can do better using Birthday paradox $\approx \sqrt{\binom{n}{t}}$ column operations!
- Even better using Information set decoding!

- Split $\mathbf{H}$ randomly in two parts $\mathbf{S}$ of $k$ columns and $\mathbf{K}$ of $n-k$ columns, and hope that all positions of $\mathbf{S}$ are error-free (i.e. $\mathbf{S}$ is an information set)
- l.e. $\mathbf{H}^{\prime}=\mathbf{H P}=[\mathbf{S} \mid \mathbf{K}] \quad\left(\right.$ Set also $\mathbf{e}^{\prime}=\mathbf{e P}^{\top}$ )

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- Cost $n(n-k)$ column operations
- We can do slightly better by relaxing "error-freeness" of information set [Lee-Brickell '88]
- better probability but more work


## Introducing collision search in ISD [Stern '89; Dumer '91]



- Split $\mathbf{H}$ as before, except hope for the error distribution in the figure the positions of $\mathbf{S}$ contain $2 p$ errors, where $p$ in left half $\mathbf{S}_{1}$, and $p$ in right half $\mathbf{S}_{2}$ and there are no errors on chosen $\ell$ positions outside of information set


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- If guess is correct, $\exists \overline{\mathbf{e}}_{1}, \overline{\mathbf{e}}_{2}$ of weight $p$ and $\mathbf{s} \mathbf{U}^{\top}+\overline{\mathbf{e}}_{1} \mathbf{S}_{1}^{\top} \mathbf{U}^{\top}+\overline{\mathbf{e}}_{2} \mathbf{S}_{2}^{\top} \mathbf{U}^{\top}$ has weight $t-2 p$


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- so far, the same as before!


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- Split $\mathbf{H}$ as before, except hope for the error distribution in the figure the positions of $\mathbf{S}$ contain $2 p$ errors, where $p$ in left half $\mathbf{S}_{1}$, and $p$ in right half $\mathbf{S}_{2}$ and there are no errors on chosen $\ell$ positions outside of information set
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- Information Set Decoding: [Prange '62] - $2^{0.1208 n}$
- Allow non-perfect information set: [Lee \& Brickell '88]
- Birthday improvement: [Stern, 89], [Dumer '91]
- Initial McEliece parameters broken: [Bernstein, Lange, \& Peters '08]
- Ball-collision decoding [Bernstein, Lange, \& Peters '11]
- Asymptotic exponent improved [May, Meurer, \& Thomae '11]
- Decoding one out of many [Sendrier '11]
- Even better asymptotic exponent [Becker, Joux, May, \& Meurer '12] - $2^{0.1019 n}$
- "Nearest Neighbor" variant [May \& Ozerov '15]
- Sublinear error weight [Canto Torres \& Sendrier '16]
- ISD using Quantum walks (post-quantum) [Kachigar-Tillich '17]
- Nearest Neighbor BJMM [Both-May '17] - $2^{0.0953 n}$
- Post-quantum "Nearest Neighbor" [Kirshanova '18]

Security of Code-based crypto Other attacks

- Dual attacks (lattice style)
- statistical decoding - reduce to LPN
- outperform ISD for low rate codes
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- Key recovery attacks
- LDPC codes - polynomial-time (constant density)
- MDPC codes - generic decoding only $\mathcal{O}\left(2^{\sqrt{n}}\right)($ density $\mathcal{O}(\sqrt{n}))$
- Algebraic attacks
- Polynomial-time distinguisher for high-rate alternant and Goppa codes
- No influence on Classic McEliece


## Reaction attack [Verheul et al. '98]



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$\mathbf{m}_{1}, \mathbf{c}_{1}$

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$\mathbf{m}_{1}, \mathbf{c}_{1}$ $\qquad$
$\mathbf{C}_{1}$
$\checkmark \leftarrow \operatorname{Decode}\left(\mathbf{c}_{1}\right)$

## Reaction attack [Verheul et al. '98]


$\mathbf{m}_{1}, \mathbf{c}_{1}$

$\mathbf{m}_{2}, \mathbf{C}_{2}$

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$\mathbf{m}_{1}, \mathbf{c}_{1}$
$\mathbf{m}_{2}, \mathbf{C}_{2}$

$\mathbf{C}_{2}$

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$$
\mathbf{m}_{1}, \mathbf{c}_{1}
$$


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$\mathbf{m}_{2}, \mathbf{C}_{2}$

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$\qquad$


## A reaction (decoding failure) attack on Niederreiter

- Idea: iteratively test the error vector positions
- For position $i, \mathbf{s}^{\prime} \leftarrow \mathbf{s} \oplus \mathbf{H}_{i}$
$\mathbf{H} \cdot \mathbf{e}=\mathbf{s}$

$$
\left[\begin{array}{cccccccc}
1 & 0 & \cdots & 1 & 0 & 0 & \cdots & 0 \\
0 & 1 & \cdots & 0 & 0 & 1 & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \cdots & \vdots \\
0 & 0 & \cdots & 1 & 1 & 0 & \cdots & 1
\end{array}\right]\left[\begin{array}{c}
0 \\
1 \\
\vdots \\
0 \\
1 \\
1 \\
\vdots \\
0
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\\
\\
\\
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- Even lees by iterative chunking
- Even less if attacker has some computational

$$
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\\
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\end{gathered}
$$ power to solve a smaller Information Set Decoding problem

## Side-channel attacks

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NIST's additional round on signatures

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- Enhanced pqsigRM
- FuLeeca
- Wave
- CROSS
- SDitH
- LESS
- MEDS
- ALTEQ


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- 5 Fiat-Shamir signatures
- 3 of them based on equivalence problem



## Digital signatures via the Fiat-Shamir transform

| $\Sigma$-protocol | $\mathcal{P}$ (pk, sk) |  | $\mathcal{V}(\mathrm{pk})$ |
| :---: | :---: | :---: | :---: |
|  | com $\leftarrow \mathcal{P}_{0}{ }^{r}(\mathrm{sk})$ | com |  |
|  |  | ch | ch $\stackrel{\$}{\leftarrow} \mathrm{ChS}^{r}\left(1^{k}\right)$ |
|  | resp $\leftarrow \mathcal{P}_{1}{ }^{r}$ (sk, com, ch $)$ | resp |  |
|  |  |  | $b \leftarrow \mathrm{Vf}^{r}(\mathrm{pk}$, com, ch, resp $)$ |



## Code equivalence problem $\operatorname{CE}\left(\mathcal{C}_{0}, \mathcal{C}_{1}\right)$ :

Given $\mathcal{C}_{0}$ and $\mathcal{C}_{1}$, find (if any) an isometry (preserves metric) $\phi$ s.t. $\mathcal{C}_{1}=\phi\left(\mathcal{C}_{0}\right)$

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- Alternate trilinear form equivalence - ALTEQ - Blase et al. (Tang et al.'22)
- Rank metric, skew-symmetric matrix codes
- isometry defined by non-singular matrix $\mathbf{A}$


## $\Sigma$ protocol from Code Equivalence Problems

Let $\phi$ be an isometry s.t. $\mathcal{C}_{1}=\phi\left(\mathcal{C}_{0}\right)$.
Given $\mathcal{C}_{0}, \mathcal{C}_{1}$, the prover $\mathcal{P}$ wants to prove to the verifier $\mathcal{V}$ knowledge of $\phi$ without revealing any information about it


| $\mathcal{P}\left(\mathcal{C}_{0}, \mathcal{C}_{1}, \phi\right)$ |
| :--- |
|  |
|  |
|  |
|  |

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$\mathcal{C}^{\prime}$

| $\mathcal{P}\left(\mathcal{C}_{0}, \mathcal{C}_{1}, \phi\right)$ | $\mathcal{V}\left(\mathcal{C}_{0}, \mathcal{C}_{1}\right)$ |
| :--- | :--- |
| $\operatorname{com} \leftarrow \mathcal{C}^{\prime}$ |  |
|  |  |
|  |  |
|  |  |

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$$
\begin{gathered}
\mathcal{C}_{0} \\
\vdots \\
\vdots \\
\vdots \\
\vdots \\
\mathcal{C}_{1}
\end{gathered}
$$

## $\mathcal{C}^{\prime}$



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## $\Sigma$ protocol from Code Equivalence Problems

Let $\phi$ be an isometry s.t. $\mathcal{C}_{1}=\phi\left(\mathcal{C}_{0}\right)$.
Given $\mathcal{C}_{0}, \mathcal{C}_{1}$, the prover $\mathcal{P}$ wants to prove to the verifier $\mathcal{V}$ knowledge of $\phi$ without revealing any information about it





- Homogenous Quadratic Maps Linear Equivalence (hQMLE) problem is well known equivalence problem from multivariate crypto (instance of Isomorphism of Polynomials)


## Relation between problems



- Homogenous Quadratic Maps Linear Equivalence (hQMLE) problem is well known equivalence problem from multivariate crypto (instance of Isomorphism of Polynomials)

| Level | param. set | public key <br> size (KB) | signature <br> size (KB) |
| :---: | :---: | :---: | :---: |
| I | LESS-1b | 13.7 | 8.4 |
| I | MEDS-9923 | 9.9 | 9.9 |
| I | ALTEQ Balanced | 8 | 16 |
| III | LESS-3b | 34.5 | 18.4 |
| III | MEDS-41711 | 41.7 | 41 |
| III | ALTEQ Balanced | 32 | 48 |

- Standard optimizations: Multiple Public Keys + Fixed-Weight Challenge Strings + Seed tree


## Parameters and performance of LESS, MEDS, ALTEQ

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- generate public key partially from seed $\Rightarrow$ signature size reduction
- Work in progress: use similar idea during signing

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- Standard optimizations: Multiple Public Keys + Fixed-Weight Challenge Strings + Seed tree
- New in MEDS: Public Key Compression
- generate public key partially from seed $\Rightarrow$ signature size reduction
- Work in progress: use similar idea during signing
- Brand new in LESS: Information Set formulation, Canonical forms
- significant signature reduction

Thank you for listening!

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